| (51) International Patent Classification [5] : | | (11) International Publication Number: | WO 94/15421 |
|---|---|---|---|
| **H04L 9/00** | **A1** | (43) International Publication Date: | 7 July 1994 (07.07.94) |

(71) Applicant: BELL COMMUNICATIONS RESEARCH, INC. [US/US]; 290 West Mount Pleasant Avenue, Livingston, NJ 07039-2729 (US).

(72) Inventors: HABER, Stuart, Alan; 16 West 16th Street, Apartment 3 SN, New York, NY 10011 (US). STORNETTA, Wakefield, Scott; 34 Harding Terrace, Morristown, NJ 07960 (US).

(74) Agent: WINTER, Richard, C.; PCT International, Inc., Bell Communications Research, Inc., 290 West Mount Pleasant Avenue, Livingston, NJ 07039 (US).

(54) Title: METHOD OF EXTENDING THE VALIDITY OF A CRYPTOGRAPHIC CERTIFICATE

(57) Abstract

A cryptographic certificate attesting to the authenticity of the original document elements will lose its validity when the cryptographic function underlying the certifying scheme is compromised. The present invention extends the reliability of such a certificate by subjecting the combination of the original certificate and the digital representation of the document to a scheme based on a less vulnerable function using the steps of: obtaining the original document (11); applying the function to the document to create the original certificate (13); combining the original document and the certificate (15); and, applying another function to the combination to create an extended certificate (17).

Obtain Original Document (D) — 11

Apply Function $(F_1)$ To Create Original Certificate $C_1 = F_1(D)$ — 13

Combine Original Document and Certificate $(D, C_1)$ — 15

Apply Function $(F_2)$ To Create Extended Certificate $C_2 = F_2(D, C_1)$ — 17

METHOD OF EXTENDING THE VALIDITY

OF A CRYPTOGRAPHIC CERTIFICATE

5

BACKGROUND OF THE INVENTION


This invention relates to methods for certifying or
10   validating the existence or occurrence of a recorded document
or event, particularly methods which rely upon cryptographic
assumptions to establish the basis for such a certification or
validation. More specifically, the invention relates to a
method for reconfirming an original certificate in order to
15   maintain its validity for a significant period of time beyond
the probable compromise of an underlying cryptographic
assumption or step in the original certification procedure.


Time-stamping procedures described in U.S. Patent Nos.
20   5,136,646 and 5,136,647 are representative of a type of
certification for which the present method is adapted. Such
schemes for setting a reliable time of creation of a document,
or providing indisputable evidence against the alteration of a
document, generally digital computer data in alphanumeric,
25   pictorial, video, or audio form, depend upon the assumption
that there exist cryptographic functions which, when applied to
a digital representation of such a document, defy any manner of
manipulation which might permit undetectable alterations or
falsifications of the original state of document elements. The
30   functional procedures generally exemplified in those
disclosures typically provide this required property, since
they generate unique certificate statements which essentially
can not be duplicated other than from an identical document
representation. This security arises from the fact that the
35   derivation or reconstruction of these functions from the
products of their application is computationally infeasible.
Ultimate achievement of such derivations must be anticipated,

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however, since a given function or procedure may be fatally flawed or, as is becoming more probable, advancements in computer technology and algorithmic techniques are likely to make more readily available a level of calculating power which
5   enables such derivation.

With compromise of a step or algorithm in a procedural certification function, the possibility arises of generating duplicate certificates or parts thereof from different digital
10  representations, i.e., creating "collisions", and thereby defeating the previously reliable basis for a certification scheme. Substitution of a newer and presumably less vulnerable function in the certification procedure may prevent for some finite time the compromise of future certificates, but the
15  value of past certificates in establishing original creation dates, for example, is all but lost. The present invention, however, provides a means for bridging the technological gap and extending into the era of a newer function or procedure the validity of the original certification.
20

## SUMMARY OF THE INVENTION

25      Historically, there has usually been an overlap period between the time spans of reliability of an established cryptographic function and one which has been newly implemented with improved resistance to compromise. As computational power increases and algorithmic techniques improve, the evolution and
30  phasing of cryptographic certification procedures or functions, for example, can generally be foreseen. It is possible, therefore, to anticipate the final stages of reliability provided by an existing certification scheme and to initiate a procedure, such as provided by the present invention, to ensure
35  the continuity of original certificate validity.

In essence, this invention entails generating from the

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original document a new document certificate during the viable
term of the original certification scheme, such as may be based
upon a cryptographic signature key procedure or a time-stamping
procedure. This new certification process comprises applying a
5    different cryptographic function, e.g., a time-stamping
procedure, to a combination including the original certificate
and the original digital document from which the certificate
was derived. Such a different function is preferably a new and
presumably more reliable algorithm or procedure, or at least
10   one upon which the original certification did not rely. The
resulting certificate, generated by means of a function or
procedure having a significant expected remaining term of
reliability, now implacably embodies the original certificate
elements at a time prior to any likely compromise of the
15   original certification function. Since these original elements
have as yet been exposed to no threat of compromise and are now
bound by the new time stamp within the protective cloak of a far
more relatively invulnerable certification function, their
original veracity has been extended for at least the reliable
20   term of this new function.


BRIEF DESCRIPTION OF THE DRAWING


25

          The present invention will be described with reference to
the accompanying drawing of which:


          FIG. 1 presents a flow chart of steps embodying a general
30   procedure implementing the certificate extension process of the
invention; and


          FIG. 2 presents a flow chart of steps embodying a
rudimentary time-stamping procedure implementing the
35   certificate extension process of the invention.

## DESCRIPTION OF THE INVENTION

The extension procedure of the present invention is
5.  applicable to any manner of certificate digitally derived by
cryptographic means. For instance, the process may be used to
support the veracity of a document transmittal originally
certified with a cryptographic key signature algorithm or
function beyond a time when that function might be compromised,
10  whether due to misappropriation of a secret key or to advances
in computer technology and algorithmic techniques. A digital
time-stamp certificate could similarly benefit by application
of the invention to prevent its coming into question after
compromise of the scheme or function underlying the time-
15  stamping procedure. In general, the process of the invention is
useful to ensure the continued viability of any certificate
produced by a digital scheme or function which is capable of
compromise.

20      The steps comprising a basic application of the
certificate extension process are shown in FIG. 1. There,
initial steps 11, 13 are intended to depict any certification
procedure, such as a signature scheme or time-stamping process,
in which a digital document, $D_1$, e.g., a body of text or
25  alphanumeric representations, a picture, an audio recording, or
the like, is subjected to a cryptographic scheme or procedure,
generally a "function", $F_1$, to produce a certificate, $C_1$, which
will serve later as evidence of the original existence and
substance of $D_1$. The value of certificate, $C_1$, will persist,
30  however, only until a compromise of the certification function,
as a whole or in a component step or algorithm, since, as a
result of such a compromise, the certificate might thereafter
be duplicated by an imposter or through the use of a counterfeit
document.
35

The basic steps of the invention are therefore effected
prior to any such compromise, as projected, for example, on the

basis of the current state of computational technology, and
comprise combining, at 15, the original document, D, with the
original certificate, $C_1$, and applying to that combination, at
17, a different and presumably more secure scheme or function to
5    obtain a new certificate, $C_2$, which will later attest to the
validity of original certificate, $C_1$, at a time when its
generating function, $F_1$, was as yet uncompromised and secure.
The essential element of this process resides in the
application of the new certification function to the
10   conjunction of original document, D, with original certificate,
$C_1$. This step avoids the error inherent in the naive and
ineffectual procedure of merely recertifying either the
original certificate or the original document alone; namely,
that of perpetuating a compromise which reflects directly upon
15   the veracity of the original document, D.

As an example, one might consider application of the
present invention to extend the valid lifetime of a digitally
signed document where, in keeping with usual practices, a
20   digital signature, $\sigma$, is derived by application of some
cryptographic signature scheme to a document, D. To avoid
invalidation of such a signed document by subsequent compromise
of the scheme, for instance, due to misappropriation of a user's
private key, the pre-compromise generation of a certificate, C,
25   by application of a time-stamp function, T, to a combination of
the signature and the document:

$$C = T(\sigma, D)$$

will provide continuing proof that the signature was created
30   prior to the compromise, i.e., at a time when only a legitimate
user could have produced it. Such a certificate might also be
used to establish original authorship of the document.

The invention is broadly useful, as well, as a means of
35   extending or "renewing" time-stamp certificates, generally. For
example, a simple scheme for certifying an event, such as time-
stamping the creation of a document, comprises establishing a

digital representation of the document content, adding data
denoting current time, and permanently fixing the resulting
digital statement against subsequent revision, all under
trustworthy circumstances, to yield a certificate which will
5    provide irrefutable evidence of the event at a later time. Means
for ensuring the original veracity of the certificate have been
described in our earlier-noted patent specifications as
including use of trusted outside agencies, arbitrary selection
of agencies, linking of certificates in temporal chains, and
10   similar practices which remove substantially all influence a
document author might have upon the certification process.
Other methods of establishing the authenticity of original
certification procedures might also include private and public
key cryptographic communications.
15

Common to certification procedures is the application of
some manner of cryptographic function by which the document,
related identifying data, or digital representations of these
elements may be algorithmically reduced to a unique statement
20   or cipher which can not feasibly be duplicated from different
representative elements by computational means. Any of the
general class of one-way hashing algorithms, for example, may
be used in such a procedure or function applied to a digital
representation of a time-receipted document to produce an
25   inimitable certificate, usually in the form of a cryptic string
of alphanumeric characters, which can only be generated by such
an application of that same function to exactly that digital
representation. The additional characteristic property of the
one-way function is that of possessing such mathematical
30   complexity as to discourage the computational derivation or
reconstruction of the original digital representation from the
resultant certificate, as well as to discourage the generation
of a matching certificate from a different representation.

35          A simple certification procedure utilizing such a one-way
hashing algorithm is represented in FIG. 2 at steps 21-23.
There, digital document, $D_1$, of step 21 is identified , e.g.,

annotated with author data, to yield a receipt, $R_1$, that, in a
rudimentary procedure which may be simply stated as:

$$C_1 = F_1(H_1(R_1))$$

5     is in turn reduced at step 23 to a certificate, $C_1$, by
application of a time-stamping function, $F_1$, comprising a
current hash algorithm, $H_1$.

10    As a result of computational or algorithmic developments
over time, or in the event of a flaw in the function itself,
hash, $H_1$, may become compromised with the result that a
falsified receipt, $R_x$, could produce a duplicate, or
"collision", certificate, $C_1$. The veracity of original
certificate, $C_1$, and its value as probative evidence of the
15    contents of document, D, and other elements of receipt, $R_1$,
would thus be destroyed, since there would no longer exist a
singular certificate cipher that could be traced solely to the
original document and its once-unique receipt, $R_1$.

20    Advent of the collision need not denigrate the worth of
the initial certificate back to the time of its creation,
however, but only for the period subsequent to the compromise.
The value of the certificate during its earlier term could be
preserved and extended into the future if means were available
25    to link into a time prior to such compromise with a trustworthy
scheme for deriving a new certificate at least as unique and
intractable as was the initial certificate. The problem,
therefore, has been to "recertify" the original certificate in
a manner which would verify the facts that had been securely
30    bound into that certificate until the first collision occurred.

A naive solution to this problem would appear to be just
that simple; that is, to recertify the original certificate,
for example by applying a new and more robust hash, $H_2$. The
35    fallacy in this approach becomes apparent, however, when one

considers that after the instance of a collision the condition
exists where:

$$H_1(R_1) = C_1 = H_1(R_x).$$

5    The hashing of certificate, $C_1$, with a new function, $H_2$, would
therefore not produce a renewal certificate cipher, $C_2$, unique
only to receipt, $R_1$, since:

$$C_2 = H_2(C_1) = H_2(H_1(R_1)) = H_2(H_1(R_x))$$

10    and, thus, there is no reliable distinction between those
resulting certificates.

      The present invention, however, does provide such a
unique certificate which serves to extend the veracity of an
15    original certificate beyond subsequent compromise of the
original function or algorithm. This is accomplished, as in the
representative of FIG. 2, by combining, at step 25, the original
certificate, $C_1$, with the original document, $D_1$, from which it
was generated and which is to be later proven, and applying to
20    that composite statement, at step 27, a different certification
function, $F_2$, e.g., comprising a new hashing algorithm, $H_2$, to
yield the extended certificate:

$$C_2 = F_2(H_2(C_1,D_1)) = F_2(H_2(H_1(R_1),D_1)).$$

25    The final represented step, 29, in which it is established that
the new certificate, $C_2$, was created during the valid term of
original certificate, $C_1$, i.e., prior to any compromise of the
original certification function, may be effected along with
step 27, for example in the course of applying an earlier-
30    described time-stamping procedures, to generate certificate,
$C_2$. Alternatively, the effective time of the new certificate,
$C_2$, may be established simply by publication, e.g., in a widely-
distributed newspaper, either alone or as incorporated into a
derivative representation similar to the "authentication tree"
35    noted by D.E.R.Denning in *Cryptography and Data Security*,
pp. 170-171, Addison-Wesley (1982).

In the ultimate utilization of this new certificate, $C_2$, to prove the original document, $D_1$, by recomputing certificate, $C_2$, from its elements, such proof will fail unless original document, $D_1$, rather than a bogus document, $D_x$, is an included

5      element. Even though a collision due to compromised function, $H_1$, may exist at the time of using certificate, $C_2$, in a proof, the as yet invulnerable state of hash function, $H_2$, ensures against any collision with the expanded statement, i.e., one comprising document element, $D_1$, which is used to generate that

10     new certificate. During a normal proofing process, the original certificate, $C_1$, will also be recomputed using the document in question. Unless the document then employed to recompute original certificate, $C_1$, matches precisely the document similarly employed with new certificate, $C_2$, the proof will not

15     be realized. A false document, $D_x$, therefore can not be substituted surreptitiously for an original document as long as the applied hash function, $H_2$, remains uncompromised, since for any document, $D_x$, which one could feasibly compute:

$$H_2(C_1,D_1) \neq H_2(C_1,D_x).$$

20     When advancements in computation portend a compromise situation, yet a different time-stamp function, e.g., one utilizing algorithm, $H_3$, with longer life expectancy may be employed in the same procedure to again extend the involved

25     certificate.

       As an example of the implementation of the present invention, one might consider first an initial certificate prepared in the manner described in our earlier U. S. Patent No.

30     5,136,646 employing the one-way hash algorithm specified by R. L. Rivest in "The MD4 Message Digest Algorithm", *Advances in Cryptology - Crypto '90*, Lecture Notes in Computer Science, Vol. 537 (ed. A. J. Menezes et al.), pp. 303-311, Springer-Verlag (Berlin, 1991). In that earlier example, elements of the

35

receipt, $R_1$, identifying the quotation "document" appeared as:

$$1328, 194628GMT06MAR91, 634, \quad \bullet$$
$$ee2ef3ea60ef10cb621c4fb3f8dc34c7$$

5   and with additional data representing a prior transaction
formed the basic statement to which the function comprising MD4
hash algorithm, $H_1$, was applied to yield the unique cipher:

$$46f7d75f0fbea95e96fc38472aa28ca1$$

10   which is held by the author as a time-stamp certificate, $C_1$.

        In the event of an anticipated compromise of the MD4 hash
function algorithm, the procedure of this invention would be
initiated utilizing a different time-stamping certification
15   function comprising, for example, a new algorithm, $H_2$, such as
the MD5 hashing function described by Rivest and Dusse, "The MD5
Message Digest Algorithm", Network Working Group, Internet
Draft, RSA Data Security, Inc. (July 1991); RFC 1321, Internet
Activities Board (April 1992).
20

        As an initial step in this procedure, the document
representation, $D_1$, to be proven at a later time is combined
with original certificate, $C_1$, either in original digital form
or, preferably, as the convenient, condensed output of hash
25   function, $H_2$, viz.:

$$.D9776652kDAj2.M5191CAD7$$

thus forming the combination statement, $(C_1,D_1)$, as:

30

$$46f7d75f0fbea95e96fc38472aa28ca1,$$
$$.D9776652kDAj2.M5191CAD7.$$

Applying to this statement hashing algorithm, $H_2$, comprising the
new function, $F_2$, produces:

35

$$656h//PDDM60M9/qDDt85F56$$

which in a time-stamping procedure, for instance, may be
transmitted to an outside agency for the inclusion of current

time data and authenticating cryptographic signature to yield
extended certificate, $C_2$. As earlier noted, the effective date
of a new certificate, $C_2$, may otherwise be established, such as
in other time-stamping schemes or by public display or
5    notoriety.

A variation on the foregoing embodiment provides an even
more reliable practice in that it substantially eliminates the
uncertainties associated with estimating the onset of a
10   certification function compromise. This is accomplished by
using a plurality of different cryptographic functions, e.g., $F_a$
and $F_b$, to derive a compound original certificate, $C_\alpha$:

$$C_\alpha = F_a(D_1), F_b(D_1)$$

15   which will remain valid even after the confirmed compromise of
one of those function due to the likely continued viability of
the other. Thus a period of security continues during which one
may select a new certification function, $F_c$, to be employed in
the extension of certificate, $C_\alpha$ as:
20

$$C_\beta = F_b(C_\alpha, D_1), F_c(C_\alpha, D_1).$$

Subsequent compromise of any current cryptographic function can
be remedied in like manner.

25       It is anticipated that other variants will become
apparent to the skilled artisan in the light of the foregoing
disclosure, and such embodiments are likewise considered to be
encompassed within the scope of the invention defined by the
appended claims.
30



35

What is claimed is:


1   1.     A method of extending the validity of a first
2   cryptographic certificate derived by applying a first
3   cryptographic function to a digital document, which method
4   comprises:
5           a) combining a digital representation of said document
6   with a digital representation of said certificate; and
7           b) applying to the resulting combination during the valid
8   term of said first certificate a different cryptographic
9   function to thereby generate a second certificate attesting to
10  the then current validity of said first certificate.


1   2.     A method according to claim 1 wherein said first function
2   is a cryptographic signature scheme.


1   3.     A method according to claim 2 wherein said different
2   function is a time-stamping procedure.


1   4.     A method according to claim 3 wherein said different
2   function comprises a one-way hashing algorithm.


1   5.     A method according to claim 1 wherein said first function
2   is a time-stamping procedure.


1   6.     A method according to claim 5 wherein said first function
2   comprises a one-way hashing algorithm.


1   7.     A method according to claim 5 wherein said different
2   function is a time-stamping procedure.

1    8.      A method according to claim 7 wherein said first function
2    comprises a first one-way hashing algorithm and said different
3    function comprises a different one-way hashing algorithm.


1    9.      A method according to claim 1 wherein said different
2    function is a time-stamping procedure.


1    10.     A method of certifying a digital representation of a
2    document which comprises:
3           a) generating a first certificate by applying to said
4    digital representation at least a first cryptographic function;
5           b) combining said first certificate with said digital
6    representation; and
7           c) generating a second certificate by applying to said
8    combination at least one cryptographic function which is
9    different from said first function.


1    11.     A method according to claim 10 wherein said first
2    function is a cryptographic signature scheme.


1    12.     A method according to claim 11 wherein said different
2    function is a time-stamping procedure.


1    13.     A method according to claim 12 wherein said different
2    function comprises a one-way hashing algorithm.


1    14.     A method according to claim 10 wherein said first
2    function is a time-stamping procedure.

1    15.   A method according to claim 14 wherein said first
2    function comprises a one-way hashing algorithm.

1    16.   A method according to claim 14 wherein said different
2    function is a time-stamping procedure.

1    17.   A method according to claim 16 wherein said first
2    function comprises a first one-way hashing algorithm and said
3    different function comprises a different one-way hashing
4    algorithm.

1    18.   A method according to claim 10 wherein:
2        a) said first certificate is generated by applying to
3    said digital representation at least first and second different
4    cryptographic functions; and
5        b) said second certificate is generated by applying to
6    said combination at least one cryptographic function which is
7    different from said first and second functions.

1    19.   A certificate authenticating a digital representation of
2    a document, said certificate consisting of a second certificate
3    generated according to the method of claim 10.

1    20.   A certificate according to claim 19 wherein:
2        a) said first certificate is generated by applying to
3    said digital representation at least first and second different
cryptographic functions; and
        b) said second certificate is generated by applying to
said combination at least one cryptographic function which is
different from said first and second functions.

FIG. 1

Identify Original
Document ($D_1$)
In Receipt ($R_1$)                         21

Create Original
Certificate With
Receipt Hash ($H_1$)                       23
$C_1 = F_1(H_1(R_1))$

Combine Original
Certificate and                            25
Document ($C_1, D_1$)

Create New
Certificate With
Combination Hash ($H_2$)                   27
$C_2 = F_2(H_2(C_1, D_1))$

Establish Effective
Time Of                                    29
New Certificate ($C_2$)

*FIG. 2*

# INTERNATIONAL SEARCH REPORT

| | |
|---|---|
| | In national application No.<br>PCT/US93/11173 |

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5)  : H04L  9/00
US CL  :US 380/23

According to International Patent Classification (IPC) or to both national classification and IPC

**B.  FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

  U.S. :  380/3,4,5,9,10,23,24,25,28,30,49,50

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C.  DOCUMENTS CONSIDERED TO BE RELEVANT**

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|---|---|---|
| A | US, A, 4,405,829 (RIVEST ET AL) 20 SEPTEMBER 1983. | 1-20 |
| A | US, A, 4,625,076 (OKAMOTO ET AL) 25 NOVEMBER 1986. | 1-20 |
| A | US, A, 4,868,877 (FISCHER) 19 SEPTEMBER 1989. | 1-20 |
| A | US, A, 4,881,264 (MERKLE) 14 NOVEMBER 1989. | 1-20 |
| A | US, A, 4,972,474 (SABIN) 20 NOVEMBER 1990. | 1-20 |
| A | US, A, 5,001,752 (FISCHER) 19 MARCH 1991. | 1-20 |

☐   Further documents are listed in the continuation of Box C.    ☐   See patent family annex.

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|---|---|---|---|
| * | Special categories of cited documents: | "T" | later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention |
| "A" | document defining the general state of the art which is not considered to be part of particular relevance | | |
| "E" | earlier document published on or after the international filing date | "X" | document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone |
| "L" | document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) | "Y" | document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art |
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| "P" | document published prior to the international filing date but later than the priority date claimed | "&" | document member of the same patent family |

| Date of the actual completion of the international search | Date of mailing of the international search report |
|---|---|
| 14 JANUARY 1994 | **MAR 2 4 1994** |

| Name and mailing address of the ISA/US | Authorized officer |
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| Commissioner of Patents and Trademarks<br>Box PCT<br>Washington, D.C. 20231 | *Diane Gordimy for*<br>BERNARR EARL GREGORY |
| Facsimile No.  NOT APPLICABLE | Telephone No.  (703) 308-0479 |

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certification.  Also, the initial seed for the
pseudorandom generator may be based upon a function of
time or previously receipted documents, as well as of the
document.  As an alternative, an organizational designee
5   might serve as a resident "outside" agency who would
maintain a catenate certificate record of organization
documents by means of the present procedure and on a
regular basis would transmit the then current catenate
certificate to a TSA.  In this manner the sequence of an
10  organization's business records would be established both
within the organization and externally through the TSA.

        Also, the implementation of process embodiments
might readily be automated in simple computer programs
which would directly carry out the various steps of
15  hashing, transmitting, and concatenating original document
representations, applying current time stamps, generating
and recording catenate certificate values, and providing
receipt certificates.

## THE DRAWING

20      The present invention will be described with
reference to the accompanying drawing of which:

        FIG. 1 is a flow diagram of a general process of
time-stamping a document according to the invention;

        FIG. 2 is a flow diagram of a specific embodiment
25  of the process;

        FIG. 3 is a flow diagram of another specific

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What is claimed is:


1   1.    A method for the secure time-stamping of a digital
2   document
3         c h a r a c t e r i z e d   i n   t h a t .
4         a)    a digital representation of said document is
5   transmitted from an originator to an outside agency;
6         b)    said outside agency creates a receipt comprising
7   a digital representation of then current time and at least
8   a portion of a digital representation of said digital
9   document; and
10        c)    said receipt is certified at said outside agency
11  by means of a verifiable digital cryptographic signature
12  scheme.


1   2.    A method according to claim 1
2         c h a r a c t e r i z e d   i n   t h a t
3   said receipted digital document representation comprises
4   at least a portion of the digital representation of the
5   number derived by application of a deterministic function
6   algorithm to said digital document.


1   3.    A method according to claim 2
2         c h a r a c t e r i z e d   i n   t h a t
3   said digital number representation is derived from the
4   application of a one-way hashing algorithm to said digital
5   document.

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1  4.    A method according to claim 1
2          c h a r a c t e r i z e d    i n    t h a t
3  said receipt further comprises the time representation and
4  digital document representation specific to at least one
5  other digital document receipted by said outside agency.


1  5.    A method according to claim 1 .
2          c h a r a c t e r i z e d    i n    t h a t
3  said outside agency is selected at random from a
4  predetermined universe by means of a pseudorandom
5  generator seeded with at least a portion of the digital
6  representation of the number derived from the application
7  of a deterministic function algorithm to said digital
8  document.


1  6.    A method according to claim 5
2          c h a r a c t e r i z e d    i n    t h a t
3  said pseudorandom generation seed is derived from the
4  application of a one-way hashing algorithm to said digital
5  document.


1  7.    A method according to claim 5
2          c h a r a c t e r i z e d    i n    t h a t
3  said method further comprises the like preparation of a
4  time-stamp certificate by at least one additional outside
5  agency selected by said pseudorandom generation.


1  8.    A method according to claim 7
2          c h a r a c t e r i z e d    i n    t h a t
3  said method further comprises the like preparation of a
4  time-stamp certificate by at least one additional outside

 5  agency selected by said pseudorandom generation and
 6  wherein the input for each additional outside agency
 7  selection is at least a portion of the digital
 8  representation of the output derived from the application
 9  of said one-way hashing algorithm to a digital
10  representation of the previously generated output.


 1  9.    A method of certifying the temporal sequence of
 2  digital documents in a series
 3         c h a r a c t e r i z e d   i n   t h a t
 4  said method comprises:
 5      a)    generating a digital representation of a
 6  specified one of the documents in said series; and
 7      b)    generating a catenate certificate value
 8  representation for said specified document by applying a
 9  deterministic function algorithm to a concatenation
10  comprising said specified document representation and the
11  catenate certificate value representation for the document
12  next prior in said series to said specified document.


 1  10.   A method according to claim 9
 2         c h a r a c t e r i z e d   i n   t h a t
 3  said method further comprises repeating said recited steps
 4  with each subsequent document in said series.


 1  11.   A method according to claim 10
 2         c h a r a c t e r i z e d   i n   t h a t
 3  each said document representation is generated by applying
 4  a deterministic function algorithm to said document.

1   12.  A method of time-stamping a digital document which
2   comprises transmitting a digital representation of said
3   document to an outside agency, creating at said outside
4   agency a receipt comprising a digital representation of
5   then current time and at least a portion of a digital
6   representation of said digital document, and certifying
7   said receipt at said outside agency
8           c h a r a c t e r i z e d  i n  t h a t
9   the certifying of said receipt comprises:
10          a)    concatenating a digital representation of said
11  receipt with a representation of a prior catenate
12  certificate value to form a composite; and
13          b)    generating a catenate certificate value for said
14  receipt by applying a deterministic function algorithm to
15  said composite.


1   13.  A method of time-stamping a digital document
2   according to claim 12
3           c h a r a c t e r i z e d  i n  t h a t
4   said outside agency maintains a record comprising the
5   catenate certificate values of prior time-stamping
6   transactions.


1   14.  A method of time-stamping a digital document
2   according to claim 12
3           c h a r a c t e r i z e d  i n  t h a t
4   said receipted digital document representation comprises
5   at least a portion of the digital representation of the
6   value derived by application of a deterministic function
7   algorithm to said digital document.

1   15.   A method of time-stamping a digital document
2   according to claim 14
3            c h a r a c t e r i z e d   i n   t h a t
4   said digital value representation is derived from the
5   application of a one-way hashing algorithm to said digital
6   document.

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Author Prepares
Digital Document — 11

↓

Document
Condensed
e.g., Hashed — 12

↓

Document Transmitted
To
Outside Agency — 13

↓

Agency Adds
Time Data — 15

↓

Agency Applies
Cryptographic
Signature — 17

↓

Agency Transmits
Certificate
To Author — 19

FIG. 1

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FIG. 2

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FIG. 3

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```
┌─────────────────────────┐
│                         │  ─ 41
│   Author Prepares       │
│   Digital Document      │
│                         │
└─────────────────────────┘
            │
            ▼
┌─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ┐
│                         │  ─ 42
│   Document              │
│   Condensed             │
│   e.g., Hashed          │
└─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ┘
            │
            ▼
┌─────────────────────────┐
│                         │  ─ 43
│   Document Transmitted   │
│   To TSA                │
│                         │
└─────────────────────────┘
            │
            ▼
┌─────────────────────────┐
│                         │  ─ 44
│   TSA Adds              │
│   Time Data To          │
│   Create Receipt        │
└─────────────────────────┘
            │
            ▼
┌─────────────────────────┐
│                         │  ─ 45
│   TSA Adds              │
│   Receipt To Current    │
│   Catenate Value        │
└─────────────────────────┘
            │
            ▼
┌─────────────────────────┐
│                         │  ─ 46
│   TSA Hashes            │
│   Composite To Create   │
│   New Catenate Value    │
└─────────────────────────┘
            │
            ▼
┌─────────────────────────┐
│                         │  ─ 47
│   TSA Transmits New     │
│   Catenate Value In     │
│   Certificate To Author │
└─────────────────────────┘
```

# FIG. 4

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```
         ┌─────────────────────┐
         │   Obtain Present    │╱─ 51
         │     Document        │
         │   Representation    │
         └─────────────────────┘
                    │
                    ▼
         ┌─────────────────────┐
         │    Add Catenate     │╱─ 52
         │     Value For       │
         │  Previous Document  │
         └─────────────────────┘
                    │
                    ▼
         ┌─────────────────────┐
         │  Hash Composite To  │╱─ 53
         │ Create Catenate Value│
         │ For Present Document │
         └─────────────────────┘
                    │
                    ▼
         ┌─────────────────────┐
         │    Obtain Next      │╱─ 54
         │     Document        │
         │   Representation    │
         └─────────────────────┘
                    │
                    ▼
         ┌─────────────────────┐
         │    Add Catenate     │╱─ 55
         │     Value For       │
         │  Present Document   │
         └─────────────────────┘
                    │
                    ▼
         ┌─────────────────────┐
         │  Hash Composite To  │╱─ 56
         │ Create Catenate Value│
         │  For Next Document   │
         └─────────────────────┘

                   •
                   •
                   •
```

# FIG. 5